

LAHIVE

&

COCKFIELD

LLP



COUNSELLORS AT LAW

28 STATE STREET

BOSTON, MASSACHUSETTS 02109-1784

TELEPHONE (617) 227-7400

FAX (617) 742-4214

www.lahive.com

JOHN A. LAHIVE, JR. (1928-1997)
THOMAS V. SMURZYNSKI
GIULIO A. DeCONTI, JR.
ELIZABETH A. HANLEY
AMY BAKER MANDRAGOURAS
ANTHONY A. LAURENTANO
KEVIN J. CANNING
JANE E. REMILLARD
DeANN FORAN SMITH
DEBRA J. MILASINCIC, Ph.D.
WILLIAM A. SCOFIELD, JR.
SIBLEY P. REPPERT
DAVID R. BURNS
JOHN S. CURRAN
SEAN D. DETWEILER
MEGAN E. WILLIAMS, Ph.D.
LISA DIROCCO TYNER
HATHAWAY P. RUSSELL *
MARIA LACCOTRIPE ZACHARAKIS, Ph.D.

MERIDETH C. ARNOLD
DANIELLE L. HERRITT
EUIHOON LEE **
MANEESH GULATI
CYNTHIA M. SOROOS
PETER W. DINI, Ph.D.
MICHAEL J. BASTIAN, Ph.D.
CHRISTOPHER J. McKENNA
VINCENT P. LOCCISANO
JAMES M. McKENZIE

SENIOR COUNSEL
JAMES E. COCKFIELD

OF COUNSEL
JEREMIAH LYNCH
JEANNE M. DIGIORGIO
CYNTHIA L. KANIK, Ph.D.
JOHN D. LANZA

PATENT AGENTS
JONATHAN M. SPARKS, Ph.D.
ANDRINA WILLIAMS ZINK
CRISTIN E. HOWLEY, Ph.D.
JILL ANN MELLO, Ph.D.
CHRISTOPHER E. DRABIK

TECHNICAL SPECIALISTS
CATHERINE M. BISHOP
JACOB G. WEINTRAUB
DEBORAH L. NAGLE, Ph.D.
ANNE JACQUELINE WIZEMAN, Ph.D.
BRIAN C. TRINQUE, Ph.D.
CHRISTOPHER R. COWLES, Ph.D.
JAMES H. VELEMA
W. ELANA WANG
CYNTHIA M. GILBERT

* Admitted in TX only
** Admitted in CT only

IFW

September 23, 2004

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Re: U.S. Patent Application No.: 10/726,207
For: **ACTIVE MATRIX THIN FILM TRANSISTOR ARRAY BACKPLANE**
Inventors: Forbes, Charles, *et al.*
Filed: December 1, 2003
Our Ref. No.: VTW-010DV2

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

1. Information Disclosure Statement;
2. PTO Form SB/08;
3. A Return Postcard.

No additional costs are believed to be due in connection with the filing of this Information Disclosure Statement. However, please charge any necessary fees in connection with the enclosed statement to our Deposit Order Account No. 12-0080. For this purpose, a duplicate of this sheet is attached.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to:
MS Amendment, Commissioner for Patents, P.O. Box 1450,
Alexandria, Virginia 22313-1450 on:
9/23/04
Date

Anthony A. Laurentano, Registration No. 38,220

Respectfully submitted,
LAHIVE & COCKFIELD, LLP

Anthony A. Laurentano
Registration No. 38,220
Attorney for Applicants



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Forbes, Charles, *et al.*

Serial No.: 10/726,207

Filed: December 1, 2003

For: *ACTIVE MATRIX THIN FILM TRANSISTOR
ARRAY BACKPLANE*

Attorney Docket No.: VTW-010DV2

Group Art Unit: 2822

Examiner: NOT YET ASSIGNED

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Certificate of First Class Mailing (37 CFR §1.8(a))

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on the date set forth below.

9/23/04

Date of Signature and of Mail Deposit

By:



Anthony A. Laurentano
Reg. No.: 38,200
Attorney for Applicants

INFORMATION DISCLOSURE STATEMENT

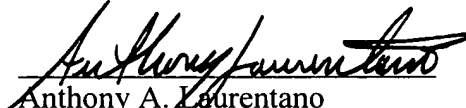
Dear Sir:

For the Examiner's convenience in reviewing this application, Applicants submit a consolidated PTO Form SB-08, listing all references cited during the prosecution of the parent applications. The present application is a continuation of U.S. Serial No. 10/300,514, filed November 20, 2002 (Atty. Docket No. VTW-010). All references listed on the enclosed PTO Form SB-08 have been previously cited by or submitted to the Office in the prior application, and, in accordance with 37 CFR §1.98(d), copies of the of the references are not enclosed but will be provided upon request.

This statement is not to be interpreted as a representation that the cited publications are material, that an exhaustive search has been conducted, or that no other relevant information exists. Nor shall the citation of any publication herein be construed *per se* as a representation that such publication is prior art. Moreover, Applicants understand that the Examiner will make an independent evaluation of the cited publications.

Under 37 CFR § 1.97(b)(3), no additional costs are believed to be due in connection with the filing of this disclosure. If, however, a first Office Action on the merits issues in this application bearing a mailing date prior to the date of this Information Disclosure Statement, please charge any appropriate fee as required under 37 CFR § 1.17(p) to our Deposit Order Account No. 12-0080.

Respectfully submitted,
LAHIVE & COCKFIELD, LLP


Anthony A. Laurentano
Registration No.: 38,220
Attorney for Applicants

28 State Street
Boston, MA 02109
(617) 227-7400

Date: 9/23/04
AAL/DRB/rl



PTO/SB/08a/b (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| | | | | | |
|---|---|----|---|--------------------------|------------------|
| Substitute for form 1449A/B/PTO | | | | Complete if Known | |
| | | | | Application Number | 10/726,207 |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) | | | | Filing Date | December 1, 2003 |
| | | | | First Named Inventor | Forbes, Charles |
| | | | | Art Unit | 2822 |
| | | | | Examiner Name | Not Yet Assigned |
| Sheet | 1 | of | 4 | Attorney Docket Number | VTW-010DV2 |

| U.S. PATENT DOCUMENTS | | | | | |
|-----------------------|--------------------------|--|--------------------------------|--|---|
| Examiner Initials* | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Number-Kind Code ² (if known) | | | |
| | A1 | 4,126,854 | 11-21-1978 | SHERIDON, N.K. | |
| | A2 | 4,143,103 | 03-06-1979 | SHERIDON, N.K. | |
| | A3 | 4,298,448 | 11-03-1981 | MYELLER, K., <i>et al.</i> | |
| | A4 | 4,435,047 | 03-06-1984 | FERGASON, J.L. | |
| | A5 | 5,213,853 | 05-25-1983 | NOONAN, J.M. | |
| | A6 | 5,262,098 | 11-16-1993 | CROWLEY, J.M., <i>et al.</i> | |
| | A7 | 5,344,594 | 09-06-1994 | SHERIDON, N.K. | |
| | A8 | 5,437,811 | 08-01-1995 | DOANE, J.W., <i>et al.</i> | |
| | A9 | 5,641,974 | 06-24-1997 | DEN BOER, W., <i>et al.</i> | |
| | A10 | 5,680,185 | 10-21-1997 | KOBAYASHI, H., <i>et al.</i> | |
| | A11 | 5,729,242 | 03-17-1998 | MARGERUM, J.D., <i>et al.</i> | |
| | A12 | 5,751,257 | 05-12-1998 | SUTHERLAND, J.W. | |
| | A13 | 5,776,803 | 07-07-1998 | YOUNG, N.D. | |
| | A14 | 5,796,121 | 08-18-1998 | GATES, S.M. | |
| | A15 | 5,825,529 | 10-20-1998 | CROWLEY, J.M. | |
| | A16 | 5,856,858 | 01-05-1999 | CAREY, P.G., <i>et al.</i> | |
| | A17 | 6,025,896 | 02-15-2000 | HATTORI, Y., <i>et al.</i> | |
| | A18 | 6,080,606 | 06-27-2000 | GLESKOVA, H., <i>et al.</i> | |
| | A19 | 6,087,196 | 07-11-2000 | STURM, J.C., <i>et al.</i> | |
| | A20 | 6,089,453 | 07-18-2000 | KAYSER, K.W., <i>et al.</i> | |
| | A21 | 6,118,426 | 09-12-2000 | ALBERT, J.D., <i>et al.</i> | |
| | A22 | 6,124,851 | 09-26-2000 | JACOBSON, J.M. | |
| | A23 | 6,140,899 | 10-31-2000 | KAYSER, K.W., <i>et al.</i> | |
| | A24 | 6,181,299 B1 | 01-30-2001 | FREDERICK, W.R., <i>et al.</i> | |
| | A25 | 6,253,190 B1 | 06-26-2001 | SUTHERLAND, J.W. | |

| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|--------------------------|---|-----------------------------------|--|---|----------------|
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
| | | Country Code ³ -Number ⁴ -Kind Code ⁵ (if known) | | | | |
| | A26 | WO 98/03896 A1 | 01-29-1998 | JACOBSON, J.M. | | |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

| NON PATENT LITERATURE DOCUMENTS | | | |
|---------------------------------|--------------------------|---|----------------|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
| | A27 | BAEUERLE, P.A., <i>et al.</i> "4.3: A MIM-driven transmissive display with color filters on 2-in.-diagonal plastic substrates." SID 99 Digest, pp. 414-17. | |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

| | |
|-----------------------|--------------------|
| Examiner Signature | Date Considered |
|-----------------------|--------------------|

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| | | | | | |
|--|---|----|---|--------------------------|------------------|
| Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) | | | | Complete if Known | |
| | | | | Application Number | 10/726,207 |
| | | | | Filing Date | December 1, 2003 |
| | | | | First Named Inventor | Forbes, Charles |
| | | | | Art Unit | 2822 |
| | | | | Examiner Name | Not Yet Assigned |
| Sheet | 2 | of | 4 | Attorney Docket Number | VTW-010DV2 |

| FOREIGN PATENT DOCUMENTS | | | | | | | |
|--------------------------|--------------------------|---|-------------|-----------------------------------|--|---|----------------|
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ² |
| | | Country Code ³ -Number ⁴ -Kind Code ⁵ (if known) | | | | | |
| | B1 | WO | 98/41898 A2 | 09-24-1998 | JACOBSON, J.M., <i>et al.</i> | | |
| | B2 | WO | 99/10769 A1 | 09-12-2000 | ALBERT, J.D., <i>et al.</i> | | |
| | B3 | WO | 00/16189 A1 | 03-23-2000 | GELBMAN, A. | | |
| | B4 | WO | 00/26761 A1 | 05-11-2000 | ALBERT, J.D., <i>et al.</i> | | |
| | B5 | WO | 00/36465 A1 | 06-22-2000 | DRZAIC, P., <i>et al.</i> | | |
| | B6 | WO | 00/36666 A1 | 06-22-2000 | DRZAIC, P., <i>et al.</i> | | |
| | B7 | WO | 00/46854 A1 | 08-10-2000 | HADLEY, M.A., <i>et al.</i> | | |
| | B8 | WO | 00/49421 A1 | 08-24-2000 | SMITH, J.S. | | |
| | B9 | WO | 00/49658 A1 | 08-24-2000 | SMITH, J.S. | | |
| | B10 | WO | 00/55915 A1 | 09-21-2000 | JACOBSEN, J., <i>et al.</i> | | |
| | B11 | WO | 00/55916 A1 | 09-21-2000 | JACOBSEN, J., <i>et al.</i> | | |
| | B12 | WO | 00/67110 A1 | 11-09-2000 | COMISKEY, B., <i>et al.</i> | | |
| | B13 | WO | 00/67327 A1 | 11-09-2000 | DRZAIC, P., <i>et al.</i> | | |
| | B14 | EP | 1058147 A2 | 12-06-2000 | STEPHENSON, S.W. | | |
| | B15 | WO | 01/07961 A1 | 02-01-2001 | AMUNDSON, K., <i>et al.</i> | | |
| | B16 | WO | 01/08241 A1 | 02-01-2001 | DRZAIC, P., <i>et al.</i> | | |
| | B17 | WO | 01/08242 A1 | 02-01-2001 | AMUNDSON, K., <i>et al.</i> | | |
| | B18 | WO | 01/17029 A1 | 03-08-2001 | AMUNDSON, K., <i>et al.</i> | | |
| | B19 | WO | 01/17040 A1 | 03-08-2001 | AMUNDSON, K., <i>et al.</i> | | |
| | B20 | WO | 01/17041 A1 | 03-08-2001 | AMUNDSON, K., <i>et al.</i> | | |
| | B21 | WO | 01/33621 A2 | 05-10-2001 | SMITH, J.S. | | |
| | B22 | WO | 01/80287 A2 | 10-25-2001 | JACOBSON, J.M., <i>et al.</i> | | |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

| NON PATENT LITERATURE DOCUMENTS | | | |
|---------------------------------|--------------------------|---|----------------|
| Examiner Initials | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
| | B23 | CHEN, <i>et al.</i> "12.2: A conformable electronic ink display using a foil-based a-Si TFT array." SID 01 Digest, pp. 157-159. | |
| | B24 | COMISKEY, <i>et al.</i> "An electrophoretic ink for all-printed reflective electronic displays." Nature, 1998; vol. 394, pp. 253-255. | |
| | B25 | FORBES, <i>et al.</i> "43.3: A rugged conformable backplane fabricated with an a-Si:H TFT array on a polyimide substrate." SID 02 Digest, pp. 1-4. | |
| | B26 | GLESKOVA, <i>et al.</i> "Electrophotographic patterning of a-Si:H." Mat. Res. Soc. Symp. Proc., 1995; vol. 377, pp. 719-724. | |
| | B27 | GLESKOVA, <i>et al.</i> "Electrophotographic patterning of thin-film silicon on glass foil." IEEE Electron Device Letters, 1995; vol. 16(10), pp. 418-420. | |
| | B28 | GLESKOVA, <i>et al.</i> "Electrophotographic patterning of a-Si:H." AMLCDs 1995 Workshop Proceedings, 1995; pp. 16-19. | |
| | B29 | GLESKOVA, <i>et al.</i> "Electrophotographically patterned thin-film silicon transistors." IEEE Electron Device Letters, 1996; vol. 17(6), pp. 264-266. | |

| | | | |
|-----------------------|--|--------------------|--|
| Examiner Signature | | Date Considered | |
|-----------------------|--|--------------------|--|

| | | | | | |
|--|---|----|---|--------------------------|------------------|
| Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) | | | | Complete if Known | |
| | | | | Application Number | 10/726,207 |
| | | | | Filing Date | December 1, 2003 |
| | | | | First Named Inventor | Forbes, Charles |
| | | | | Art Unit | 2822 |
| | | | | Examiner Name | Not Yet Assigned |
| Sheet | 3 | of | 4 | Attorney Docket Number | VTW-010DV2 |

| | | |
|-----|--|--|
| C1 | GLESKOVA, <i>et al.</i> "a Si:H TFT fabricated by electrophotographic printing." Display Manufacturing Technology Conference. Digest of Technical Papers. 1996; pp. 97-98. | |
| C2 | GLESKOVA, <i>et al.</i> "a Si:H TFTs patterned using laser-printed toner." Mat. Res. Soc. Symp. Proc., 1997; vol. 424, pp. 71-76. | |
| C3 | GLESKOVA, <i>et al.</i> "a Si:H TFTs made on polyimide foil by pe-cvd at 150°C." Mat. Res. Soc. Symp. Proc., 1998; vol. 508, pp. 73-78. | |
| C4 | GLESKOVA, <i>et al.</i> "Photoresist-free fabrication process for a-Si:H thin film transistors." Journal of Non-Crystalline Solids, 1998; vol. 227-230, pp. 1217-1220. | |
| C5 | GLESKOVA, <i>et al.</i> "Rugged a-Si:H TFTs on plastic substrates." Mat. Res. Soc. Symp. Proc., 1999; vol. 557, pp. 653-658. | |
| C6 | GLESKOVA, <i>et al.</i> "Amorphous silicon thin-film transistors on compliant polyimide foil substrates." IEEE Electron Device Letters, 1999; vol. 20(9), vol. 473-475. | |
| C7 | GLESKOVA, <i>et al.</i> "Failure resistance of amorphous silicon transistors under extreme in-plane strain." Applied Physics Letters, 1999; vol. 75(19), pp. 3011-3013. | |
| C8 | GLESKOVA, <i>et al.</i> "a-Si:H thin-film transistors after very high strain." Journal of Non-Crystalline Solids, 2000; vol. 266-269, pp. 1320-1324. | |
| C9 | GLESKOVA, <i>et al.</i> "a Si:H TFTs on polyimide foil: Electrical performance under mechanical strain." Asia Display/IDW '01, 2001; pp. 331-334. | |
| C10 | GLESKOVA, <i>et al.</i> "Low-temperature silicon nitride for thin-film electronics on polyimide foil substrates." Applied Surface Science, 2001; vol. 175-176, pp. 12-16. | |
| C11 | GLESKOVA, <i>et al.</i> "Electrical stability of a-Si:H TFTs fabricated at 150°C." Res. Soc. Symp. Proc., 2001; vol. 664, pp. A19.7.1-A19.7.6. | |
| C12 | GLESKOVA, <i>et al.</i> "150°C Amorphous silicon thin-film transistor technology for polyimide substrates." Journal of the Electrochemical Society, 2001; vol. 148(7), pp. G370-G374. | |
| C13 | GLESKOVA, <i>et al.</i> "DC-gate-bias stressing of a-Si:H TFTs fabricated at 150° on polyimide foil." IEEE Transactions on electron devices, 2001; vol. 48(8), pp. 1667-1671. | |
| C14 | GLESKOVA, <i>et al.</i> "Electrophotographically printed insulator." Materials Letters, 2002; vol. 52, pp. 150-153. | |
| C15 | GLESKOVA, <i>et al.</i> "Electrical response of amorphous silicon thin-film transistors under mechanical strain." Journal of Applied Physics, 2002; vol. 92(10), pp. 6224-6229. | |
| C16 | GLESKOVA, <i>et al.</i> "Effects of mechanical strain on amorphous silicon thin-film transistors." Mat. Res. Soc. Symp. Proc., 2002; vol. 715, pp. 667-677. | |
| C17 | GLESKOVA, <i>et al.</i> "Electron mobility in amorphous silicon thin-film transistors under compressive strain." Applied Physics Letters, 2001; vol. 79(20), pp. 3347-3349. | |
| C18 | HSU, <i>et al.</i> "Amorphous Si TFTs on plastically deformed spherical domes." Journal of Non-Crystalline Solids, 2002; vol. 299-302, pp. 135501359. | |
| C19 | SAZONOV, <i>et al.</i> "Fabrication of a-Si:H TFTs at 120°C on flexible polyimide substrates." Mat. Res. Soc. Symp. Proc., 2000; vol. 558, pp. 375-381. | |
| C20 | SHEN, <i>et al.</i> "Patterning of a-Si:H by laser printing." SID Digest of Technical Papers, 1995; pp. 587-590. | |
| C21 | STURM, <i>et al.</i> "Three-dimensional electronic surfaces." Mat. Res. Soc. Symp. Proc., 2001; vol. 636, pp. D11.4.1-D11.4.12. | |
| C22 | STURM, <i>et al.</i> "Enabling technologies for plastic displays." Proc. SPIE, 2002; vol. 4712, pp. 222-236. | |
| C23 | SUO, <i>et al.</i> "Mechanics of rollable and foldable film-on-foil electronics." Applied Physics Letters, 1999; vol. 74(8), pp. 1177-1179. | |
| C24 | THEISS, <i>et al.</i> "Polysilicon thin-film transistors fabricated at 100°C on a flexible plastic substrate." IEDM, 1998; pp. 257-260. | |
| C25 | THOMASSON, <i>et al.</i> "Tri-layer a-Si:H TFTs on polymeric substrates." 56th Device Research Conference Digest, June 1998; pp. 126-127. | |
| C26 | WAGNER, <i>et al.</i> "Compliant substrates for thin-film transistor backplanes." In <u>Flat panel display technology and display metrology</u> . Proceedings of SPIE, 1999; vol. 3636, pp. 32-39. | |
| C27 | WAGNER, <i>et al.</i> "Low temperature amorphous and nanocrystalline silicon technology for flat panel displays." SID 20 th International Display Research Conference, 2000; pp. 402-405. | |

| | | | |
|--------------------|--|-----------------|--|
| Examiner Signature | | Date Considered | |
|--------------------|--|-----------------|--|

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| | | | | | |
|---|---|----|---|--------------------------|------------------|
| Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i> | | | | Complete if Known | |
| | | | | Application Number | 10/726,207 |
| | | | | Filing Date | December 1, 2003 |
| | | | | First Named Inventor | Forbes, Charles |
| | | | | Art Unit | 2822 |
| | | | | Examiner Name | Not Yet Assigned |
| Sheet | 4 | of | 4 | Attorney Docket Number | VTW-010DV2 |

| | | | |
|--|----|---|--|
| | D1 | WAGNER, <i>et al.</i> "Novel processing technology for macroelectronics." In <u>Technology and applications of amorphous silicon</u> . Street (ed.) Springer, 2000, pp. 222-251. | |
| | D2 | WAGNER, <i>et al.</i> "Silicon thin-film transistors on flexible foil substrates." IMID '02 Digest of Technical Papers, pp. 263-267. | |
| | D3 | WAGNER, <i>et al.</i> "Thin-film transistors and flexible electronics." In <u>Growth, Characterization and Electronic Applications of Si-based Thin Films</u> , Bergmann (ed.), 2002; pp. 1-14. | |
| | D4 | WAGNER, <i>et al.</i> "Silicon for thin-film transistors." 2 nd International Conference on Cat-CVD (hot-wire CVD) process, 2002; pp. 1-6. | |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

| | | | |
|-----------------------|--|--------------------|--|
| Examiner Signature | | Date Considered | |
|-----------------------|--|--------------------|--|